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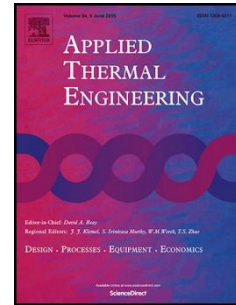
PII: S1359-4311(16)00070-3
DOI: <http://dx.doi.org/doi: 10.1016/j.applthermaleng.2016.01.015>
Reference: ATE 7575

To appear in: *Applied Thermal Engineering*

Received date: 24-8-2015
Accepted date: 6-1-2016

Please cite this article as: Ming-Der Yang, Min-Der Lin, Yu-Hao Lin, Kang-Ting Tsai, Multiobjective optimization design of green building envelope material using a non-dominated sorting genetic algorithm, *Applied Thermal Engineering* (2016), <http://dx.doi.org/doi: 10.1016/j.applthermaleng.2016.01.015>.

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■ Title

Multiobjective optimization design of green building envelope material using a non-dominated sorting genetic algorithm

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1 Highlights

- 2 ● An effective envelope energy performance model (BEM) was developed.
- 3 ● We integrated NSGA-II with the BEM to optimize the green building envelope.
- 4 ● A tradeoff plan of green building design for three conflict objectives was obtained.
- 5 ● The optimal envelope design efficiently reduced the construction cost of green building.

6 **ABSTRACT**

7 To realize the goal of environmental sustainability, improving energy efficiency in
8 buildings is a major priority worldwide. However, the practical design of green building
9 envelopes for energy conservation is a highly complex optimization problem, and architects
10 must make multiobjective decisions. In practice, methods such as multicriteria analyses that
11 entail capitalizing on possibly many (but in nearly any case limited) alternatives are
12 commonly employed. This study investigated the feasibility of applying a multiobjective
13 optimal model on building envelope design (MOPBEM), which involved integrating a
14 building envelope energy performance model with a multiobjective optimizer. The MOPBEM
15 was established to provide a reference for green designs. A nondominated sorting genetic
16 algorithm-II (NSGA-II) was used to achieve a tradeoff design set between three conflicting
17 objectives, namely minimizing the envelope construction cost (ENVCOST), minimizing the
18 envelope energy performance (ENVLOAD), and maximizing the window opening rate
19 (WOPR). A real office building case was designed using the MOPBEM to identify the
20 potential strengths and weaknesses of the proposed MOPBEM. The results showed that a high
21 ENVCOST was expended in simultaneously satisfying the low ENVLOAD and high WOPR.
22 Various designs exhibited obvious cost reductions compared with the original architects'
23 manual design, demonstrating the practicability of the MOPBEM.

24

25 **Keywords:** Green building; Multiobjective optimization; Nondominated sorting genetic

1 algorithm (NSGA-II); Building envelope; Energy conservation

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1 **1. Introduction**

2 Buildings are one of considerable energy consumers, consuming large amounts of energy
3 and releasing considerable amounts of greenhouse gases [1-2]. However, the climate change
4 caused by greenhouse gases emission has an important influence on environmental
5 sustainability [3]. The net-zero energy green building is now seen as the future trend for
6 designing a building [4]. Constructing green buildings involves different building design
7 problems such as orientation choice, façade design, envelope design, thermal comfort, and
8 construction cost [5]; meanwhile, effectively evaluating the thermal performance of building
9 envelopes is crucial work to reduce energy consumption. Several comprehensive building
10 thermal performance simulation models, such as EnergyPlus, TRNSYS, and computational
11 fluid dynamics tools, have been used to facilitate estimating the building energy performance
12 [6-9]. However, using these simulation programs for calculating building envelope energy
13 load (ENVLOAD) has a drawback of longer calculation time and effort to enter detailed
14 building parameters [10-11]. A surrogate program for evaluating the ENVLOAD has been
15 developed by Taiwan government to efficiently estimate the air-conditioning cooling load and
16 annual energy performance of building envelopes [12-15]. A low ENVLOAD value indicates
17 low building envelope energy demand and high energy conservation [16], and then the
18 ENVLOAD value was used as a design index for green buildings [13, 17].

19 Building envelope energy performance involves numerous building parameters, including
20 wall insulation, roof insulation, window area, window glazing, window shading, climate
21 zones, and building orientation [18-19]. In other words, designing a green building requires
22 evaluating numerous parameter combinations [20]. Architects typically design building
23 envelope on the basis of their experience and inefficient “trial-and-error” approaches [21];
24 however, such subjective approaches may not yield optimal results [22]. Therefore,
25 optimizing green building envelopes is a complicated challenge for design teams attempting
26 to counterbalance various conflicting parameters [5]. Lots of optimizers such as genetic

1 algorithm have applied to optimize engineering design and topology of urban building [23-25].
2 For example, Tuhus-Dubrow et al. (2010) coupled an single objective genetic algorithm with
3 EnergyPlus to determine optimal residential building envelope parameters [26]. In practical
4 applications, architects may consider construction costs and other indirect costs such as
5 energy savings as exclusive objectives, and such objectives are frequently conflicting [27].
6 Typically, the approach to resolve multiobjective optimization problems involves combining
7 multiple objectives into a single composite function by adaptive weights; however,
8 determining the weight is dependent on the required prior knowledge and the result does not
9 provide information about the compromise between the objectives [5]. Another approach
10 entails using a multiobjective algorithm to determine a set of optimal solutions that are
11 nondominated with respect to each other, called “Pareto front (PF)” solutions. A PF embodies
12 a tradeoff between conflicting objectives. The nondominated sorting genetic algorithm-II
13 (NSGA-II) is one of the most prevalent multiobjective optimizers involving discrete integer
14 and hybrid variables [5]. Then, Evins et al. (2012) employed the NSGA-II to optimize the cost
15 and energy use of a modular building [27-28].

16 An optimization of the building envelope is required to achieve a high energy
17 performance of the building [29], and a practical building envelope design usually should
18 consider multiobjective. None of studies conducted multiobjective optimization for building
19 envelope designs in Taiwan, and a efficient energy simulation model based on the ENVLOAD
20 to estimate a building energy demand is rare. The current study integrated NSGA-II with a
21 building envelope energy estimation model (BEM) to create a multiobjective optimal BEM
22 decision support system (MOPBEM) for designing green building envelopes. The developed
23 BEM was derived from the ENVLOAD, and the MOPBEM was validated in a real building
24 design case. The NSGA-II was employed to achieve a tradeoff between two or among three
25 conflicting objectives of building envelope design, namely minimizing the envelope
26 construction cost (ENVCOST), minimizing the building energy demand (ENVLOAD), and

1 maximizing the façade window opening rate (WOPR). Because the original design case
2 considered the ENVCOST under a constant WOPR and ENVLOAD constraint, the
3 MOPBEM were firstly executed for only two objectives (ENVCOST and ENVLOAD) to
4 obtain an optimal design that was compared with the original design to demonstrate the
5 validation of MOPBEM. Meanwhile, the parameter sensitivity analysis in NSGA-II was
6 investigated to demonstrate the robustness of this algorithm. However, usually two objectives
7 in practical could not meet architects' request. Consequently, the MOPBEM was used to
8 investigate different design scenarios for these three objectives.

9

10 **2. Methodology**

11 2.1 BEM and NSGA-II

12 Fig. 1 illustrates a schematic of the BEM, indicating that the building envelope
13 configuration comprises several main components: window glass, wall, glass curtain, roof,
14 and window sunshades. The building ENVLOAD ($\text{Wh/m}^2/\text{yr}$) used in this study was related
15 to sunlight, climate, building orientation, envelope configuration, and air-conditioner use. As
16 shown in Fig. 1, nine design variables (decision variable) were used in the BEM for
17 estimating the ENVLOAD: the number of windows, window length, window width, window
18 glass material, wall material, glass curtain material, roof material, sunshade type, and
19 sunshade board size. Specifically, the construction cost of building envelopes are functions of
20 the window area, window glass material, sunshade board size, wall material, roof material,
21 and glass curtain materials. Furthermore, the ENVLOAD of a building envelope involves
22 climate conditions; the climatic zones in Taiwan were divided into north, central, and south
23 zones (Fig. 2). The ENVLOAD for green building in Taiwan has various standard levels, and
24 the climate of building case located in the south zone has relatively different cooling degree
25 and insolation hours from that located in the north zone.

26 Fig. 3 depicts a flowchart of the BEM. First, the constant and basic data for constructing a

1 building are collected. After the main architectural structure and building orientation are
 2 preplanned, an architect should classify the building envelope into various components and
 3 sectors in the k th building orientation (Fig. 2). Constant data for estimating an ENVLOAD,
 4 including floor area and building location, are input to the model. Decision variables for an
 5 ENVLOAD, such as envelope configuration material, sunshade size, sunshade type for each
 6 building sector in dissimilar orientations, are determined and input. Subsequently, the required
 7 ENVLOAD components, including window area, solar transmittance, and envelope thermal
 8 conductance, is derived directly. Three sunshade options, namely horizontal, vertical, and grid
 9 sunshade, are available in the BEM, and the sunshade effect depends on factors such as the
 10 building orientation and window size. Specifically, the coefficient of the sunshade effect (K_i)
 11 for the window in the i th sector is estimated by evaluating the depth rate of the sunshade
 12 board, sunshade type, and building façade orientation. Next, in the i th sector, the insolation
 13 gain and heat loss coefficient, Mk_i and L_i , are calculated for the air-conditioned and
 14 nonair-conditioned zones, respectively. The cooling degree and insolation hours, DH and Ihk ,
 15 respectively, are determined according to the building orientation and location. Concurrently,
 16 the window area and wall area in each orientation are estimated. Finally, the ENVLOAD
 17 value is calculated using Eq. (1), and is a function of Mk_i , L_i , DH , and Ihk [13]. The
 18 ENVLOAD for various building categories has different annual indoor heat gain (G) and
 19 regression coefficient [13-15].

20 The BEM can be formulated as shown in Eqs. (1)–(17), and the $WOPR$ and shadow area
 21 of this building can be calculated:

22

$$23 \quad ENVLOAD = a_0 + a_1 \times \sum_k^{No} G + a_2 \times \sum_k^{No} (L_i \times DH) + a_3 \times \sum_k^{No} (Mk_i \times IHk) \quad (1)$$

$$L_i = 1.011 + \{ U_l \times \sum_i^{Nsi} (B_i - B_i' - A_i) + U_m \times \sum_i^{Nsi} (C_i - C_i') \} \quad (2)$$

$$+ U_n \times (D - D') + 0.5 \times [U_l \times B_i' + U_m \times \sum_i^{Nsi} C_i' + U_n \times D'] / AFp$$

$$Mk_i = (Vaira + Vunaira) / AFp \quad (3)$$

$$Vaira = \sum_i^{Nsi} (K_i \times \eta_i \times A_i) + 0.035 \times [U_l \times \sum_i^{Nsi} (B_i - B_i' - A_i)] + 0.035 \times [U_n \times (D - D')] \quad (4)$$

$$Vunaira = 0.5 \times [0.035 \times U_l \times \sum_i^{Nsi} B_i'] + 0.035 \times U_m \times \sum_i^{Nsi} C_i' + 0.035 \times U_n \times D' \quad (5)$$

$$A_i = WINDN_i \times WINDL_i \times WINDW_i \quad (6)$$

$$K_i = F(SUNSHS_i, depthrate, k) \quad (7)$$

$$E_i = F(WINDN_i, WINDW_i, SUNSHS_i, SUNSHL_i) \quad (8)$$

For horizontal sunshade type (if $SUNSHS_i = 1$),

$$depthrate = SUNSHL_i / WINDW_i \quad (9)$$

$$E_i = WINDN_i \times WINDW_i \times SUNSHL_i \quad (10)$$

For vertical sunshade type (if $SUNSHS_i = 2$),

$$depthrate = SUNSHL_i / WINDL_i \quad (11)$$

$$E_i = WINDN_i \times WINDL_i \times SUNSHL_i \times 2 \quad (12)$$

For grid sunshade type (if $SUNSHS_i = 3$),

$$depthrate = (SUNSHL_i / WINDW_i + SUNSHL_i / WINDL_i) / 2 \quad (13)$$

$$E_i = WINDN_i \times WINDW_i \times SUNSHL_i + WINDN_i \times WINDL_i \times SUNSHL_i \times 2 \quad (14)$$

$$WOPR = WAREA / BFAREA \quad (15)$$

$$WAREA = \sum_k^{No} \sum_{i=1}^{Nsi} (A_i + A_i') \quad (16)$$

$$BFAREA = \sum_k^{No} \sum_{i=1}^{Nsi} (A_i + A_i' + B_i + B_i' + C_i + C_i') \quad (17)$$

1
2 Here, $WINDN_i$, $WINDW_i$, $WINDL_i$, $SUNSHL_i$, and $SUNSHS_i$ are the design variables that
3 represent window number, window unit width, window unit length, sunshade board unit
4 length, sunshade style, respectively. No is the number of building orientations; Ns_i is the
5 number of sectors in the i th building orientation. a_0 is a constant, where a_1 , a_2 , and a_3 are
6 regression coefficients; these constant and coefficients are depended on the building type. G is
7 the annual indoor heat gain ($Wh/m^2/yr$) and depends on the building category. The product of
8 L_i and DH represents the heat loss of the building construction material, Mk_i is the insolation
9 gain coefficient of the envelope in the i th sector ($Wh/m^2/K$), and the product of ΣMk_i and IHK
10 represents the heat gain and involves the sunshade factor. The areas of the window glass, wall,
11 glass curtain, and roof in the air-conditioned and nonair-conditioned zones in the i th sector are
12 denoted as A_i and A_i' , B_i and B_i' , C_i and C_i' , and D_i and D_i' , respectively (m^2); η_i is the solar
13 transmittance of the glass, and U_l , U_m , and U_n are the thermal conductance of the wall, glass
14 curtain, and roof, respectively ($W/m^2/K$). Furthermore, AFp (m^2) is the area of surrounding
15 regions 5 m from the building boundary to the center of the building interior [16, 30].
16 $SUNSHS_i$ represents the sunshade type in the i th sector; the sunshade board length in the i th
17 sector is denoted as $SUNSHL_i$, and the number, length, and width of the window in the i th
18 sector are denoted as $WIND_i$, $WINDL_i$, and $WINDW_i$, respectively. $WAREA$ and $EBAREA$
19 represent the areas of the window and building envelope in the façade. In addition, $ENVCOST$
20 is the sum of the costs of the window, window glass material, sunshade board, and wall, roof,
21 and glass curtain materials of the building envelope (Fig. 1). These data of available materials
22 used to building envelope, including the thermal conductance, solar transmittance, and cost,
23 were shown in Tables S1–S4 in the Supplementary Material.

24

25 2.2 Case study

26 An office building in Chiayi City in Southern Taiwan, located at an altitude of 30 m, was

1 designed to validate the feasibility of MOPBEM. Fig. 2 illustrates a photograph of the office
2 building and the façade in various orientations. In a orientation, the envelope façade can be
3 divided into three sectors (a, b, and c) to determine the design variables in the MOPBEM.
4 This office building comprises 13 aboveground floors and windows equipped with horizontal
5 sunshade boards; Tables 1 and 2 list other essential data and original design of the envelope.
6 The wall area is relatively low in the southern and northern façades, and the heat loss of the
7 envelope (L) and annual air-conditioner operation time (Ac) in the original design is 16100
8 Wh/m²/yr and 1885.5 hrs, respectively. Table 3 lists the DH and Ihk coefficients in different
9 climatic zones; the Ihk value in the northern climatic zone decreased compared with that in
10 the southern climatic zone, indicating that Taiwan is characterized by high climatic variations.
11 Table S1 in the Supplementary Material lists the coefficient of the sunshade effect, K_i , for this
12 study case. Tables 1 and 2 show the original design plan, derived from the BEM, of the case
13 building. The estimated ENVLOAD value and building envelope cost were 87.45 KWh/m²/yr
14 and 61.86 million New Taiwan dollars (\$NTD), respectively; although this ENVLOAD
15 satisfies the energy conservation regulations for green buildings in Taiwan (< 92 KWh/m²/yr).
16 The WOPRs in the northern, eastern, southern, and western orientations were 21.2%, 11.5%,
17 20.0%, and 11.5%, respectively. Lower construction cost would be obtained using the
18 MOPBEM, and a cost effectiveness analysis and two design scenarios for the study case were
19 investigated.

20

21 2.3 NSGA-II and MOPBEM

22 As illustrated in Fig. 3, the first section in the MOPBEM is implementing the BEM to
23 obtain the building ENVLOAD and construction cost. To design an optimal building envelope
24 configuration, NSGA-II is implemented when architects determine their preferred objectives
25 and construction constraints. The NSGA-II is mainly based on a nondominated sorting (NDS)
26 and crowding distance sorting mechanisms. Such mechanisms ensure both the convergence of

1 the population and its spreading; the major procedures include population generation,
 2 population fitness evaluation, population ranking according to crowding distance, elitist
 3 selection, bimodal crossover, and mutation. The detail NSGA-II procedures including the
 4 Selection could also refer to the Alinia Kashani et al. studying [31]. Parent populations are
 5 ranked into an NDS order and used to form a new offspring [32]. The NSGA-II input
 6 parameters include the population size, number of generations, mutation probability,
 7 crossover probability, and number of objectives. Some of these parameters are used in
 8 conducting a sensitivity analysis to demonstrate their effect on the tradeoff solution.

9 Objectives in the MOPBEM can be set as a function of a quantifiable direct cost or an
 10 indirect cost such as energy demand. The mentioned design variables in the BEM (as shown
 11 in Fig. 1), including number of windows, window length, window width, window glass
 12 material, wall material, glass curtain material, roof material, sunshade type, and sunshade
 13 board length, serve as decision variables in the MOPBEM. For the study case, three
 14 conflicting objectives were evaluated and are sequentially outlined as follows: to minimize
 15 the *ENVCOST*, minimize the *ENVLOAD*, and maximize the *WOPR*. A high *WOPR* may result
 16 in a high solar gain, day lighting, and ventilation for a building. Compared with the first
 17 objective, the second objective is associated with environmental costs and has the monetized
 18 difficulty; typically, a low *ENVLOAD* and high *WOPR* are incompatible with low envelope
 19 construction costs. The detail mathematical formulation of MOPBEM is expressed as shown
 20 in Eqs. (2)–(25):

21

22 Minimize *ENVCOST*

$$\begin{aligned}
 ENVCOST &= \sum_k^{No} \sum_i^{Nsi} (A_i + A_i') \times WGLCOST + \sum_k^{No} \sum_i^{Nsi} (B_i + B_i') \times WALCOST \\
 &+ \sum_k^{No} \sum_i^{Nsi} (C_i + C_i') \times GLCUCOST + \sum_k^{No} \sum_i^{Nsi} E_i \times SUNSHDCOST \\
 &+ (D_i + D_i') \times ROFCOST
 \end{aligned} \tag{18}$$

23

1 Minimize $ENVLOAD$

$$2 \quad ENVLOAD = 120370 + 2.01 \times \sum_k^{No} G + 0.033 \times \sum_k^{No} (L_i \times DH) + 1.079 \times \sum_k^{No} (Mk_i \times IHk) \quad (19)$$

3 Maximize $WOPR$

$$4 \quad WOPR = WAREA / BFAREA \quad (20)$$

5 For office building,

$$6 \quad G = 13.5 \times Ac \quad (21)$$

$$7 \quad Ac = 1661 + 118 \times Tu - 3.1 \times Tu^2 \quad (22)$$

$$8 \quad Tu = \frac{13.5}{L} \quad (23)$$

9 Subject to

$$10 \quad WINDN_i \times WINDOW_i \leq FLOORW_i \quad (24)$$

$$11 \quad ROGWOPR \leq WOPR \quad (25)$$

12

13 In these equations, $WGLCOST$, $WALCOST$, $GLCUCOST$, $ROFCOST$, and $SUNSHDCOST$ are
 14 the unit costs of the window glass, wall, glass curtain, roof, and sunshade board, respectively;
 15 these costs depend on the design variables, including sequentially glass material, wall material,
 16 glass curtain material, roof material, and sunshade board material, respectively; the unit price
 17 and property of these material was shown in Table S2-S5 (in the Supplementary Material). E_i
 18 represents the areas of the sunshade board in the i th sector and is a function of the window
 19 size, sunshade type, and sunshade board length. Furthermore, Ac is the air-conditioning hours
 20 (h/yr) and is a function of location and altitude and Tu is the increment in the average room
 21 temperature of the building (K); for the study case, No and Nsi were 4 and 3, respectively (Fig.
 22 2). $FLOORW_i$ represents the floor width in the i th sector. $ROGWOPR$ is the original design
 23 WOPRs of the building envelope. If the third objective of maximizing $WOPR$ is evaluated, Eq.
 24 (24), the $WOPR$ constraint, can be ignored.

1 Table 4 shows the decision variables, and components of objectives and ENVLOAD in
2 the MOPBEM; the nine categories of decision variable were coded in NSGA-II. For example,
3 the codes for the sunshade type can be expressed as follows: 1 (horizontal sunshade), 2
4 (vertical sunshade), and 3 (grid sunshade). The window glass, wall, roof, and glass curtain
5 materials can be coded as 1 to the material candidate number, for which the candidate
6 numbers of the glass, wall, and roof are 58, 23, and 19, respectively. The fitness of the
7 chromosome in NSGA-II is expressed according to the objective values. Other decision
8 variables are directly encoded as integer numbers representing the number of unit lengths, and
9 the actual window size is the product of the number and unit length. All computational code
10 routines were coded in Fortran programming language and run on a personal computer
11 equipped with 8 GB of RAM and an Intel i5 processor running at 3.2 GHz. Finally, the
12 MOPBEM product is a tradeoff curve for design reference.

13

14 **3. Results and discussion**

15 **3.1 Multiobjective optimal design and cost effectiveness analysis**

16 For the study case, 54 design variables were evaluated in the MOPBEM. The solution
17 space comprised approximately 2.38×10^{50} possible designs, and discrete variables make the
18 optimization problem nonconvex and discontinuous, both implying that resolving this optimal
19 design set is difficult [33] and [34]. To investigate the robustness of the NSGA-II, several
20 MOPBEM runs were executed using various NSGA-II parameters and a similar WOPR to the
21 original design, in which these parameters used the same crossover rate of 0.85 and mutation
22 rate of 0.05, but different population (P) and generation (G) sizes. Fig. 4 depicts the optimal
23 tradeoff solutions (designs) for two objectives, namely minimizing the construction cost and
24 minimizing the ENVLOAD. The PF comprised the optimal tradeoff solutions, and each of
25 these solutions represented a design associated with a minimum ENVLOAD at a specific
26 envelope cost. As expected, simultaneously reducing the building energy demand

1 (ENVLOAD) increased the construction cost; most of the envelope materials in the Pareto
2 solution set has low thermal conductivity, thus leading to a lower building load coefficient and
3 consequently higher energy savings that result in high cost [35].

4 The number of feasible solutions increased with the generations because of the evolution
5 and propagation of optimal chromosomes. Moreover, these solutions clearly converged to a
6 PF, and the number of generations to obtain a PF was depended on the population number.
7 The second tradeoff curve (Fig. 4, orange dots) was observed using a population size of 500
8 after 100 evolution generations; if the generation of this evolution was continued to 250
9 generations, a relatively inefficient evolution was derived, which had a limited improvement
10 on the solution and emphasized on generating an extreme solution such as the lowest
11 ENVLOAD. Compared with the result of a large population size ($P = 500$), the low
12 populations size ($P = 100$) required more generations to generate Pareto solutions, but such
13 solutions did not approach the optimal PF (Fig. 4, brown dots). Specifically, for this case, a
14 large population was more efficient than a large generation in enhancing the optimal PF
15 product. Such parameter set specifications for evolutionary algorithms may depend on the
16 request in various generation stages for each problem [36-37].

17 Overall, the estimated construction cost ranged from 35 million to 79 million NTD,
18 depending on the requested ENVLOAD. The maximum benefit-to-cost ratios ranged between
19 80 and 90 ($\text{Wh/m}^2/\text{yr}$). In other words, the required ENVLOAD of the case building can be
20 set at 80 ($\text{Wh/m}^2/\text{yr}$) under a limited construction budget. When the ENVLOAD value was
21 lower than 56, the construction budget of the sunshade board increased drastically to reduce
22 the solar heat gain through windows. Table 2 shows an optimal envelope configuration design
23 with the approximate ENVLOAD value (87.75), which is similar to that of the original design
24 (87.45). The cost was reduced by approximately 25.85 million NTD (47.1%) compared with
25 the original architects' manual design, signifying that the NSGA-II satisfactorily solves the
26 envelope multiobjective optimization problem. This result also demonstrated that using highly

1 expensive and frequently recommended envelope materials is unnecessary in constructing
2 green buildings.

3 Design variables, including number of windows, window length, window width,
4 sunshade type, and sunshade board length, in optimal design was shown in Table 2. Compared
5 with the original design, the optimal design involved fewer numbers of windows and larger
6 window sizes with diverse types of sunshade. A lower sunshade coefficient (K_i) indicates
7 higher sunshade efficiency for windows, and the sunshade effect depends on the building
8 orientation, sunshade type, and sunshade board length. That vertical sunshades have high
9 sunshade efficiency was observed in Table S1 (in the Supplementary Material) because of
10 high variations in sunshade coefficients. Meanwhile, vertical sunshades have lower
11 construction cost compared with grid sunshades. Therefore, vertical sunshades are used in all
12 windows in the northern orientation to efficiently reduce incident sunlight in this case. By
13 contrast, numerous grid sunshades are used in the southern orientation to increase sunshade
14 effects because they yield the highest variation in sunshade coefficients in this orientation.
15 The obvious decrease in the sunshade board area also depicted that the optimal design
16 exhibited a more favorable shade effect compared with the original design. Other design
17 variables, including window glass material, wall material, glass curtain material, roof
18 materials, in original and optimal design was listed in Table S6. Except for the wall material
19 with higher thermal transmittance but lower cost, the material configurations in optimal
20 design entail lower unit cost and thermal transmittance compared with the original design.
21 These results revealed that optimized sunshade designs efficiently facilitate reducing the solar
22 heat gain through windows and the sunshade board area, thus reducing envelope costs.
23 According to the aforementioned results, the MOPBEM can offer a complete building
24 envelope design set for architects' reference.

25

26 3.2 Scenario I: Tradeoff design for three objectives

1 The optimal design, which restricts the WOPR and excludes the external building
2 outlook, may be unrealistic and not meet architects' request. Consequently, this optimization
3 set contains three conflicting objectives, namely *ENVCOST*, *ENVLOAD*, and *WOPR*. Fig. 5
4 illustrates the optimal tradeoff solutions for these conflicting objectives. These solutions were
5 obtained using a population size of 500 and 250 generations. These Pareto tradeoff solutions
6 formed a three-dimensional (3D) Pareto surface (PS). Obviously, the PS for southern zone of
7 the study case exhibited *ENVLOAD* values ranging from 39 to 110 (Wh/m²/yr), envelope cost
8 between 35 million and 90 million NTD, and a *WOPR* ranging from 3% to 25%. As expected,
9 a high *ENVCOST* was incurred in simultaneously satisfying the low energy demand and high
10 *WOPR* requirements. A lower *WOPR* implies lower solar gain and higher energy performance;
11 therefore, the required *ENVLOAD* can be achieved using only higher thermal conductance
12 envelope materials with lower cost. Furthermore, the 3D PS provided a complete
13 representation of the conflicting features in the objective function space as well as the tradeoff
14 among the various designs to be visualized for design reference.

15

16 3.3 Scenario II: Tradeoff curve for different climatic zones

17 The goal of this scenario was to investigate the effect of climatic zones on green building
18 designs. The north tropic of Cancer (23.5° N) runs across the middle section of Taiwan, and
19 brings the tropical monsoon climate in the south and the subtropical monsoon climate in the
20 north. High temperature and humidity, massive rainfall and gusty winds characterize the
21 climate of Taiwan. For example, average temperature is approximately 20, 23.5 and 27 °C in
22 the northern, central, and southern metropolis during 1997-2010. The climatic differences of
23 different zones must be taken into consideration, and the *ENVLOAD* standards come in three
24 groups corresponding to northern, central, and southern Taiwan. Table 3 shows several
25 *ENVLOAD* parameters in various climatic zones and orientations, and the study case was
26 optimized based on these different parameters, which include *DH*, *IHK*, and *Ac*. Fig. 5 depicts

1 the two PSs for various climatic zones, indicating that a low ENVLOAD design requires high
2 construction costs. At the approximate construction cost and WOPR, the ENVLOAD
3 designed for the southern zone was generally higher than that for the northern zone at the
4 approximate construction cost and WOPR. To achieve the same ENVLOAD, the window area
5 (i.e., WOPR) designed for the southern zone was smaller than that for the northern zone
6 according to an approximate construction cost. These results are attributable to the longer IHk
7 in the southern zone than that in the northern zone, in which the IHk indicated that the
8 building possibly had a higher thermal gain and that the climate of the southern zone was
9 hotter than that of the northern zone. Specifically, when two similar buildings located in the
10 northern and southern zones are constructed with the same budget, the building in the
11 southern zone may demonstrate a higher ENVLOAD than that in the northern zone. This
12 result is also consistent with that different climatic zones have different regulatory standards
13 of ENVLOAD for green buildings; therefore, Table 3 shows that the regulatory standards of
14 ENVLOAD for green buildings in the southern and northern zones are 92 and 64 ($\text{Wh}/\text{m}^2/\text{yr}$),
15 respectively.

16

17 4. Conclusions

18 The design of low-energy green buildings has attracted increasing attention in both
19 academic and professional fields, and energy simulation of building envelopes is
20 indispensable for green building design. A simplified building energy demand, ENVLOAD,
21 serving as a green building index was proposed in Taiwan. However, building envelope
22 design considering conflicting multiobjective in practical applications is a highly nonlinear
23 and nonconvex optimization problem. Therefore, this study proposes an optimal MOPBEM,
24 which involves integrating the energy simulation of building envelopes with multiobjective
25 optimizers, for decision-making reference. The NSGA-II is used to achieve a tradeoff between
26 two or three conflicting objectives, namely minimizing the building ENVCOST, minimizing

1 the building ENVLOAD, and maximizing the building WOPR. A building in Chiayi City in
2 Southern Taiwan was investigated to demonstrate the feasibility of the proposed MOPBEM.
3 Two NSGA-II parameters, namely the population size and number of generations, were
4 determined through a sensitivity analysis to investigate their effects on the optimal tradeoff
5 solution and the robustness of the NSGA-II.

6 As expected, high ENVCOST was incurred in simultaneously satisfying low energy
7 demand and high WOPR requirements. Because a lower WOPR indicates a lower thermal
8 energy gain of a building, the required ENVLOAD can be achieved using higher thermal
9 conductance envelope materials with lower cost, resulting in a lower construction cost. One of
10 the tradeoff designs exhibited a cost reduction of 47.1% compared with the original architects'
11 manual design, demonstrating the feasibility of the proposed MOPBEM. The PS result
12 revealed that buildings with the same envelope design but located in different climatic zones
13 may demonstrate clear differences in energy performance because of the difference in
14 insolation hours. Summarily, those tradeoff solutions calculated from the MOPBEM can serve
15 as a reference for establishing regulatory standards of green building energy performance in
16 various climatic zones.

17

18

19 **Acknowledgements**

20 The authors would like to thank National Science Council of Taiwan for the partial
21 financial support of this research under Project NSC100-2221-E-507-005 and
22 NSC-102-2221-E-005-003-MY3. This work is also supported in part by the Ministry of
23 Education, Taiwan, under the ATU plan.

24

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- 22

1

Figure captions

2

Fig. 1. Conceptual illustration and components for building envelope, construction cost, and

3

ENVLOAD in the MOPBME.

4

Fig. 2. Climatic zones in Taiwan and layout of the case study building in different

5

orientations.

6

Fig. 3. Flowchart of the MOPBME model.

7

Fig. 4. Pareto front calculated from various NSGA-II parameters.

8

Fig. 5. Difference in tradeoff between southern and northern climatic zones.

Accepted Manuscript

Table 1. Basic data and original design for study case building.

Category	Orientation		South	East	North	West
	Wall and window	A_i^a	0.0	419.7	0.0	419.7
	NA_i^b	261.9	1,124.1	227.4	1124.1	
Envelope area (m ²)	Glass curtain	A_i	1,532.0	1,525.2	1,772.7	1,525.5
		NA_i	1,138.8	1,264.5	1,173.3	1,264.5
	Roof	A_i	2,893.3			
		NA_i	531.5			
Total, $BFAREA$				19,007.5		
Total surroundings area ^c , AFp (m ²)						13,139.5
Air conditioner operation time, Ac (hour/yr)						1,885.5
Annual average internal loads, G (Wh/m ² /yr)						25,453.5
Degree-hours based on monthly temperature averages, DH (Kh/yr)						16,100
Coefficient of heat loss of the envelope, L (Wh/m ² /K)						6.72
Number of floors						13

^a: air-conditioned-zone; ^b: non-air-conditioned-zone; ^c: AFp is the area of the region between 5 m from the building boundary and the center of the building interior.

Table 2. Original and optimal design at similar ENVLOAD and WOPR values.

Scenario		Original design				Optimal design			
Building		Sunshade board			Window	Sunshade board			Window
Orientation	Sector	Style	Number	Size ⁺	Open rate [*] (%)	Style	Number	Size ⁺	Open rate [*] (%)
North	a (front)	horizontal	16	1×1.6	21.2	vertical	8	2.0×2.4	21.4
	c	horizontal	22	1×1.6		vertical	6	2.0×2.0	
	a (back)	horizontal	7	1×1.6		vertical	1	1.2×1.2	
East	a and c	horizontal	10	1×1.6	11.5	horizontal	4	1.6×2.8	11.5
	b	horizontal	16	1×1.6		horizontal	6	1.8×2.0	
South	a (front)	horizontal	16	1×1.6	20.0	grid	9	1.6×2.6	20.0
	c	horizontal	22	1×1.6		vertical	10	1.6×1.4	
	a (back)	horizontal	7	1×1.6		grid	1	1.8×1.4	
West	a and c	horizontal	10	1×1.6	11.5	horizontal	3	2.0×2.4	11.6
	b	horizontal	16	1×1.6		horizontal	8	1.4×2.6	
ENVLOAD (Wh/m²/yr)		87.45			87.75				
Total widow area (m²)		3,071			3,084				
Sunshade board area (m²)		3,075.2			1,550.0				
Envelope cost (\$NTD[*])		61,856,720			36,005,350				
Cost reduction ratio (%)		-			47.1				

^{*} New Taiwanese Dollar; ⁺: length × width (m), and the length is design variable and width is constant; ^{*}: window area/wall area

Table 3. ENVLOAD parameters in various climatic zones and orientations.

Climatic Zone		Southern	Northern
Horizontal plane (i.e. Roof)		1,039,000	695,900
<i>Insolation hours, IHk (h/yr)</i>	Vertical plane	South	273,800
		West	177,000
		North	276,400
		East	314,000
ENVLOAD standard for green building (kWh/m ² /yr)		92	64
Cooling degree, <i>DH</i> (Kh/yr)		16100	12200
Cooling air-conditioning hours, <i>Ac</i> (h/yr)		$1661+118\times Tu^* -3.1\times Tu^2$	$1198+111\times Tu$

*: $Tu = 13.5/L$, L is heat loss coefficient of the building envelope.

Table 4. Objective and discrete decision variable representation in the MOPBEM.

Components of the objective	Design (Decision) variable (Nomenclature)	Option value
ENVLOAD, window opening rate and cost	Window number ($WINDN_i$)	[1, L] ^a
	Window unit width ($WINDW_i$)	[6, 12]
	Window unit length ($WINDL_i$)	[6, 14]
	Glass material	[1, 58] ^b
ENVLOAD and sunshade board cost	Sunshade board unit length ($SUNSHL_i$)	[3, 18]
	Sunshade style ($SUNSHS_i$)	[1, 3] ^c
	Sunshade board material	[1, 23] ^b
ENVLOAD and wall cost	Wall material	[1, 23] ^b
ENVLOAD and roof cost	Roof material	[1, 19] ^b
ENVLOAD and glass curtain cost	Glass curtain material	[1, 5] ^b

^a: L changes with the azimuth of building; ^b: refer to tables in supplementary material; ^c: 1, 2, and 3 indicates the horizontal, vertical, and grid sunshade, respectively.